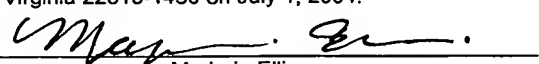


FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <b>TRANSMITTAL LETTER TO THE UNITED STATES</b> <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A FILING UNDER 35 U.S.C. 371</b>		<b>ATTORNEY DOCKET NUMBER</b> IN-5798 <b>U.S. APPLICATION NO. (IF KNOWN SEE 37 RR 15)</b> <div style="font-size: 1.5em; font-weight: bold;">10/500741</div>
INTERNATIONAL APPLICATION NO. <b>PCT/EP2003/ 00967</b>	INTERNATIONAL FILING DATE <b>31. January 2003 (31.01.2003)</b>	PRIORITY DATE CLAIMED <b>15. February 2002 (15.02.2002)</b>
TITLE OF INVENTION: <b>METHOD FOR PRODUCING CHROMOPHORIC AND/OR EFFECT PRODUCING MULTILAYER COATINGS</b>		
APPLICATION(S) FOR DO/EO/US: <b>Hubert BAUMGART, Daniel KUSSEL, and Yvonne LICHTÉ</b>		
<b>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</b>		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) The submission must include Items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmittal by the International Bureau). b. <input checked="" type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371( c )(2)). a. <input checked="" type="checkbox"/> Is attached hereto. b. <input type="checkbox"/> Has been previously submitted under 35 U.S.C 154(d)(4). 7. <input checked="" type="checkbox"/> Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C.37 ( c )(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input checked="" type="checkbox"/> An English language translation of the annex to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C 371( c )(5)). <b>Items 11. to 20. below concern document(s) or information included:</b> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: <b>A copies of the cover sheet from the PCT Published Application, and the cover sheet from the Priority Document</b>		
I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. <b>EU 693 668 856 US</b> addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on July 1, 2004. <div style="text-align: center; margin-top: 10px;">               Marjorie Ellis           </div>		

U.S. APPLICATION NO. (If known see 37 C.F.R. 1.50) <b>10/500741</b>		INTERNATIONAL APPLICATION NO. PCT/EP2003/ 00967		ATTORNEY'S DOCKET NUMBER IN-5798	
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21 <input checked="" type="checkbox"/> The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1,060.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$ 920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.44(a)(2)) paid to USPTO..... \$ 770.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$ 740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$ 100.00 <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>				<b>CALCULATIONS</b> PTO USE ONLY            	
Surcharge of \$130.00 for furnishing the oath or declaration later <input type="checkbox"/> 20 <input type="checkbox"/> 30 than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
<b>Claims</b>	<b>Number Filed</b>	<b>Number Extra</b>	<b>Rate</b>	\$	
Total Claims	16- 20 =	0	X \$ 18.00		
Independent claims	01- 03 =	0	X \$ 86.00		
Multiple dependent claims(s) (if applicable)				+ \$290.00	
<b>TOTAL OF ABOVE CALCULATION =</b>				<b>\$920.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are				\$	
<b>SUBTOTAL =</b>				<b>\$920.00</b>	
Processing fee of \$130.00 for furnishing the English translation later <input type="checkbox"/> 20 <input type="checkbox"/> 30 the months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				<b>\$920.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$920.00</b>	
				Amount to be refunded:	\$
				Charged	\$

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 23-3425 in the amount of \$920.00 to cover the above fees  
A triplicate copy of this sheet is enclosed.


c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3425. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card** information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

**BASF CORPORATION**  
 Patent Department  
 26701 Telegraph Road  
 Southfield, Michigan 48034-2442  
 (248) 948-2355  
 Customer No. 26922

  
 SIGNATURE  
 Michael F. Morgan  
 Name  
 42,906  
 REGISTRATION NUMBER

13 JUL 2004

PATENT

(Practitioner's Docket No. IN-5798)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  Hubert BAUMGART Daniel KUSSEL Yvonne LICHTÉ	
Serial No.: This application is a National Phase application of PCT/EP03/000967 filed 31 January 2003.	Group Art Unit: Not Assigned
Filed: July 1, 2004	Examiner: Not Assigned

For: Method for Producing Chromophoric and/or Effect-Producing Multilayer Coatings

"Express Mail" label # ER 693688856US Date of Deposit 7/1/2004

I hereby certify that the following attached paper or fee

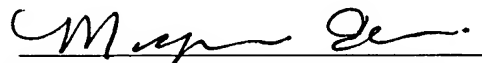
☒ English Application

Specification on	<input type="text" value="34"/>	pages;	claims on	<input type="text" value="04"/>	pages;
the abstract on	<input type="text" value="01"/>	page(s);	drawings on	<input type="text"/>	page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. ER 693688856

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)



Signature of person mailing paper or fee)

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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

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10/500741

DT15 Re PCT/PTO 01 JUL 2004

PATENT

(Practitioner's Docket No. IN-5798)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  Hubert BAUMGART Daniel KUSSEL Yvonne LICHTE	
Serial No.: This application is a National Phase application of PCT/EP03/000967 filed 31 January 2003.	Group Art Unit: Not Assigned
Filed: July 1, 2004	Examiner: Not Assigned

For: Method for Producing Chromophoric and/or Effect-Producing Multilayer Coatings  
"Express Mail" label # ER 69368856US Date of Deposit 7/1/2004

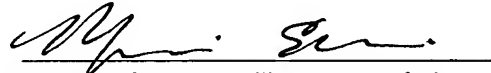
I hereby certify that the following attached paper or fee

<input checked="" type="checkbox"/>	Combined Declaration and Power of Attorney	04	Page(s)
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10/500741

DT15 PCT/PTO 01 JUL 2004

PATENT

(Practitioner's Docket No. IN-5798)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  Hubert BAUMGART Daniel KUSSEL Yvonne LICHTÉ	
Serial No.: This application is a National Phase application of PCT/EP03/000967 filed 31 January 2003.	Group Art Unit: Not Assigned
Filed: July 1, 2004	Examiner: Not Assigned

For: Method for Producing Chromophoric and/or Effect-Producing Multilayer Coatings  
"Express Mail" label # ER 693688856US Date of Deposit 7/1/2004

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<input checked="" type="checkbox"/>	Patent Application Data Sheet
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PATENT

10/500741  
DT15 PCT/PTO 01 JUL 2004  
(Practitioner's Docket No. IN-5798)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  Hubert BAUMGART Daniel KUSSEL Yvonne LICHTÉ	
Serial No.: This application is a National Phase application of PCT/EP03/000967 filed 31 January 2003.	Group Art Unit: Not Assigned
Filed: July 1, 2004	Examiner: Not Assigned

For: Method for Producing Chromophoric and/or Effect-Producing Multilayer Coatings

"Express Mail" label # ER 693688856US Date of Deposit 7/1/2004

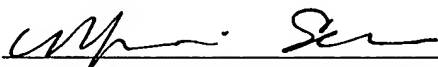
I hereby certify that the following attached paper or fee

☒ Copy of cover sheet from the PCT Published Application

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PATENT

(Practitioner's Docket No. IN-5798)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  Hubert BAUMGART Daniel KUSSEL Yvonne LICHTE	
Serial No.: This application is a National Phase application of PCT/EP03/000967 filed 31 January 2003.	Group Art Unit: Not Assigned
Filed: July 1, 2004	Examiner: Not Assigned

For: Method for Producing Chromophoric and/or Effect-Producing Multilayer Coatings

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☒ **International Preliminary Examination Report**

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PATENT

(Practitioner's Docket No. IN-5798)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  Hubert BAUMGART Daniel KUSSEL Yvonne LICHTER	
Serial No.: This application is a National Phase application of PCT/EP03/000967 filed 31 January 2003.	Group Art Unit: Not Assigned
Filed: July 1, 2004	Examiner: Not Assigned

For: Method for Producing Chromophoric and/or Effect-Producing Multilayer Coatings

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

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☒ German Text Application  
Specification on  pages; claims on  pages;  
the abstract on  page(s); drawings on  page(s).

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PATENT

(Practitioner's Docket No. IN-5798)

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In re Application of  Hubert BAUMGART Daniel KUSSEL Yvonne LICHTÉ	
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Filed: July 1, 2004	Examiner: Not Assigned

For: Method for Producing Chromophoric and/or Effect-Producing Multilayer Coatings

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☒ Copy of Cover page of the Priority Document

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10/500741

DT15

01 JUL 2004

PATENT

(Practitioner's Docket No. IN-5798)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  Hubert BAUMGART Daniel KUSSEL Yvonne LICHT	
Serial No.: This application is a National Phase application of PCT/EP03/000967 filed 31 January 2003.	Group Art Unit: Not Assigned
Filed: July 1, 2004	Examiner: Not Assigned

For: Method for Producing Chromophoric and/or Effect-Producing Multilayer Coatings

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<input checked="" type="checkbox"/>	Information Disclosure Statement
<input checked="" type="checkbox"/>	From PTO-1449
<input checked="" type="checkbox"/>	Cited References
<input checked="" type="checkbox"/>	International Search Report
<input checked="" type="checkbox"/>	Germany International Search Report

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Marjorie Ellis

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